



BOOKKEEPERS / TAX PREPARERS SUPPLEMENT

1. Name of Applicant:

2. What percentage of the Applicant's billings are derived from the following client types?

- a. Individuals ___% b. Privately Held Companies ___% c. Publicly-Held Companies ___%
d. Healthcare/HMOs ___% e. Real Estate ___% f. Non-Profit Organizations ___%
g. Construction ___% h. Financial Institutions ___% i. Other (describe) ___%

3. Please indicate the percentage of business of the Applicant's past year's gross billings in each of the followings services:

Table with columns for AUDITS, EDP/COMPUTER SERVICES, MANAGEMENT ADVISORY SERVICES, GENERAL, FIDUCIARY SERVICES, TAX SERVICES, and SECURITIES. Each category lists specific services with percentage input fields.

4. Within the last five years has the Applicant provided professional services (including, but not limited to, audits):

- a. to publicly-traded client?
b. in connection with the registration, sale or offering of securities for clients?
c. in connection with the offer and sale of private placement bonds?

5. Excluding activities as a trustee or receiver, has any client been the subject of bankruptcy, insolvency or receivership proceedings within the past three years? (If "yes", please attach the following information for each client)

- a. Date of bankruptcy, insolvency or receivership: MM/DD/YY
b. Services rendered by the Applicant:
c. Client's annual sales for the last three years? \$
d. Date of first engagement: MM/DD/YY
e. Was an engagement letter used by the Applicant?
f. Name of Client:

6. Are engagement letters always used (except for Tax Returns)? (If "no", please attach an explanation)

